

FORM PTO-1449 (MODIFIED)

AUG 12 2002

Applicant(s): G. Grabarnik et al.  
 Docket No.: YOR920010746US1  
 Serial No.: 09/976,540  
 Filing Date: October 12, 2001  
 Group: 2151 2142

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**LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

**U.S. PATENT DOCUMENTS**

EXAMINER	INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
	W	09/359,874	07/27/99	"Systems and Methods for Exploratory Analysis of Data for Event Management".		
	W	09/567,445	05/08/00	"Systems and Methods for Authoring and Executing Operational Policies That Use Event Rates".		
	W	09/739,432	12/18/00	"Systems and Methods for Discovering Partially Periodic Event Patterns".		
	W	09/918,253	07/30/01	"Systems and Methods for Discovering Mutual Dependence Patterns".		
	W	09/976,574	10/12/01	"Systems and Methods for Pairwise Analysis of Event Data".		
	W	09/976,543	10/12/01	"Systems and Methods for Validation, Completion and Construction of Event Relationship Networks".		
	W	09/731,937	12/07/00	"Method and System for Machine-Aided Rule Construction for Event Management".		
	W	09/849,565	05/04/01	"System and Method for Systematic Construction of Correlation Rules for Event Management".		
	W	5,661,668	8/26/97	Yemini et al.		
	W	5,874,955	2/23/99	Rogowitz et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER	INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES      NO

**OTHER DOCUMENTS**

EXAMINER	INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	W	1.	K.R. Milliken et al., "YES/MVS and the Automation of Operations for Large Computer Complexes," IBM Systems Journal, Vol. 25, No. 2, 1986.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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## OTHER DOCUMENTS (cont'd.)

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## EXAMINER

INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
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- m* 2. H. Mannila et al., "Discovery of Frequent Episodes in Event Sequences," Data Mining and Knowledge Discovery, 1(3), 1997.
- m* 3. R. Agrawal et al., "Mining Association Rules Between Sets of Items in Large Databases," Proc. of VLDB, pp. 207-216, 1993.
- m* 4. R. Srikant et al., "Mining Sequential Patterns: Generalizations and Performance Improvements," Proc. of the Fifth Int'l Conference on Extending Database Technology (EDBT), Avignon, France, 1996.

*K. Knott*  


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Examiner

*12/21/04*  


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